

01/23/02

JCS 11 U.S. PTO

Please type plus sign inside box + PTO/SB/05(03/01) Approved for use through 10/31/2002 OMB 0651-0032 +

# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 1.53(b))

Attorney Docket No.	33211.0010
First Inventor	Shi
Title	Composition Of Material For Production...
Express Mail Label No.	EF380104169US

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

Commissioner for Patents  
ADDRESS TO: Box Patent Application  
Washington, D.C. 20231

- |   |   |
|---|---|
| <ol style="list-style-type: none"> <li>1. <input checked="" type="checkbox"/> Fee Transmittal Form<br/>(Submit an original, and a duplicate for fee processing)</li> <li>2. <input checked="" type="checkbox"/> Applicant claims small entity status.<br/>See CFR 1.27.</li> <li>3. <input checked="" type="checkbox"/> Specification [Total Pages <u>127</u>]<br/>(preferred arrangement set forth below) <ul style="list-style-type: none"> <li>- Descriptive title of the invention</li> <li>- Cross Reference to Related Applications</li> <li>- Statement Regarding Fed sponsored R&amp;D</li> <li>- Reference to sequence listing, a table, or a computer program listing appendix</li> <li>- Background of the Invention</li> <li>- Brief Summary of the Invention</li> <li>- Brief Description of the Drawings (if filed)</li> <li>- Detailed Description</li> <li>- Claim(s)</li> <li>- Abstract of the Disclosure</li> </ul> </li> <li>4. <input type="checkbox"/> Drawing(s) (35 USC 113) [Total Sheets <u>1</u>]</li> <li>5. <input checked="" type="checkbox"/> Oath or Declaration [Total Pages <u>2</u>] <ol style="list-style-type: none"> <li>a. <input checked="" type="checkbox"/> Newly executed (original or copy)</li> <li>b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d))<br/>(for continuation/divisional with Box 18 completed)</li> <li>i. <input type="checkbox"/> <u>DELETION OF INVENTOR(S)</u><br/>Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</li> </ol> </li> <li>6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76</li> </ol> | <ol style="list-style-type: none"> <li>7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)</li> <li>8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> Computer Readable Form (CRF)</li> <li>b. <input type="checkbox"/> Specification Sequence Listing on: <ol style="list-style-type: none"> <li>i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or</li> <li>ii. <input type="checkbox"/> paper</li> </ol> </li> <li>c. <input type="checkbox"/> Statements verifying identity of above copies</li> </ol> </li> </ol> |
|---|---|

## ACCOMPANYING APPLICATION PARTS

9. ☒ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney  
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☒ Information Disclosure ☒ Copies of IDS  
Statement (IDS)/PTO-1449 Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122  
(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent
17. ☒ Other: Checks for \$370.00 and \$40.00

18. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of the prior application No: 1  
Prior application information: Examiner: \_\_\_\_\_ Group/Art Unit: \_\_\_\_\_  
For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

<input type="checkbox"/> Customer Number or Bar Code Label	(Insert Customer No. or Attach bar code label here)		OR <input checked="" type="checkbox"/> Correspondence address below	
NAME		Michael F. Scalise		
		Hodgson Russ LLP		
ADDRESS		One M&T Plaza, Suite 2000		
CITY	Buffalo	STATE	New York	ZIP CODE 14203-2391
COUNTRY	United States of America	TELEPHONE	(716) 856-4000	FAX (716) 849-0349
Name (Print/Type)	Michael F. Scalise	Registration No. (Attorney/Agent)	34,920	
Signature	<i>Michael F. Scalise</i>	Date	January 22, 2002	

"Express Mail" Mailing Label Number EF380104169US

Date of Deposit January 22, 2002

I hereby Certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner for Patents, Washington, DC 20231.

Barbara Haggerty

Name

Signature

BFLODOCS:649035

# FEE TRANSMITTAL

## for FY 2002

*Patent Fees are subject to annual revision.*

Application Number

Filing Date

01/22/2002

First Named Inventor

Shi

Examiner Name

Group/Art Unit

Attorney Docket Number

33211.0010

TOTAL AMOUNT OF PAYMENT

(\$410.00)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number: 08-2442

Deposit Account Name:

Hodgson Russ LLP

## 3. ADDITIONAL FEES

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

☒ Applicant claims small entity status. See 37 CFR 1.27.

2. ☒ Payment Enclosed:

☒ Check ☐ Credit Card ☐ Money Order ☐ Other

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity: Small Entity

Fee: Fee

Code (\$): Code (\$)

Fee Description

Fee Paid

101 240 201 370 Utility filing fee \$370

106 330 206 165 Design filing fee \$

107 310 207 255 Plant filing fee \$

108 340 208 370 Reissue filing fee \$

114 460 214 80 Provisional filing fee \$

## SUBTOTAL (1)

\$370

## 2. EXTRA CLAIM FEES

Extra Fee from  
Claims below

Fee Paid

Total Claims / 20 / -20\*\* = 0 / x / 9 / = \$0

Independent Claims / 3 / - 3\*\* = 0 / x / 42 / = \$0

Multiple dependent / / x / / = \$

Large Entity Small Entity

Fee Fee Fee Fee

Code (\$): Code (\$)

Fee Description

103 18 203 9 Claims in excess of 20

102 84 202 42 Independent claims in excess of 3

104 280 204 140 Multiple dependent claim if not paid

109 84 209 42 \*\*Reissue independent claims over original patent

110 18 210 9 \*\*Reissue claims in excess of 20 and over original patent

## SUBTOTAL (2)

\$0

SIGNATURE:

Michael F. Scalise

Reg. No. 34,920

DATE: January 22, 2002 Telephone: (716) 848-1258

"Express Mail" Mailing Label Number: EF380104169US

Date of Deposit: January 22, 2002

\*Reduced by basic filing fee paid

SUBTOTAL (3)

\$40

I hereby Certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to: Commissioner for Patents, Washington, DC 20231.

Barbara Haggerty  
Name

Barbara Haggerty  
Signature

January 22, 2002  
Date of Signature

647067